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PTO/SB/21 (6-98)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/033,433
	Filing Date	12/28/2001
	First Named Inventor	William Jorgenson
	Group Art Unit	2167
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	50135101-8

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ENCLOSURES (check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Frank M. Gasparo
Signature	
Date	3/14/2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: William Jorgenson et al.

Application No.: 10/033,433

U.S. Filing Date: December 28, 2001

Title: TRANSACTIONAL SUPPLY CHAIN SYSTEM AND METHOD

Group Art Unit: 2167

Examiner: Not Yet Assigned

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Technology Center 2100**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for the Applicants hereby bring the following references thought to be relevant to the examination of the above-identified application, which are listed on the attached modified PTO Form No. SB08A (substitute for form 1149A/PTO) to the attention of the Examiner. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

No fee is deemed necessary with the filing of these documents. If a fee is deemed necessary, we authorize the Commissioner of Patents to charge Deposit Account No. 02-0393.

Dated: March 14, 2002

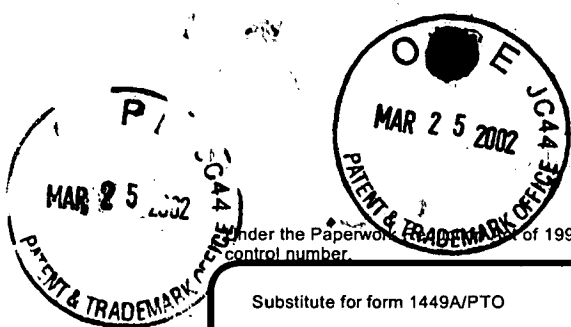
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Frank M. Gasparo

[NYC] 366726.1

Respectfully submitted,

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO		Complete if Known			
		Application Number	10/033,433		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	12/28/2001		
		First Named Inventor	William Jorgenson		
		Art Unit	2167		
		Examiner Name			
Sheet	1	of	1	Attorney Docket Number	50135101-8

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US- 5,953,707	09-14-1999	Huang et al.	
	2	US- 5,946,662	08-31-1999	Etil et al.	
	3	US- 6,055,227	04-25-2000	Lennert et al.	
	4	US- 6,249,714 BI	06-19-2001	Hocaoglu et al.	
	5	US-2001/0011437 AI	08-09-2001	Shortridge et al.	
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.
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